30 RPC 1.15(d)

DISCIPLINARY REVIEW BOARD

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SUPREME COURT OF NEW JERSEY

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January 26, 2007

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CERTIFIED MAIL - R.R.R. AND REGULAR MAIL

James C. DeZao, III, Esq. c/o Michael P. Ambrosio, Esq. Seton Hall Law School 1 Newark Center Newark, NJ 07102

Re: <u>In the Matter of James C. DeZao, III</u>

Docket No. DRB 06-237

District Docket Nos. XIV-03-0143E and XIV-04-0198E

Dear Mr. DeZao:

The Disciplinary Review Board has reviewed your conduct in the above matter and has determined that it was improper. The Supreme Court agreed with the Board's decision that an admonition is the appropriate discipline and authorized the Board to issue this letter of admonition for your misconduct.

Specifically, an Office of Attorney Ethics ("OAE") 2003 select audit disclosed three recordkeeping deficiencies that had not been corrected after an OAE 1994 random compliance audit. A check written on your trust account during the first quarter of 1999 was still listed as outstanding as of December 31, 2004. Because you did not reconcile a list of client ledgers to your adjusted checkbook balance, you did not detect that outstanding balance or several other old outstanding balances.

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In imposing only an admonition, the Board considered that your conduct did not involve negligent misappropriation, that you inherited a recordkeeping system from your prior accountant, on whom you relied to comply with the recordkeeping rules, and that, from July 2001 through February 2003, your sister, who performed your reconciliations, apparently did not recognize all of her responsibilities to that end.

Your conduct adversely reflected not only upon you as an attorney, but also upon all members of the bar. Accordingly, the Court has directed the issuance of this admonition to you. \underline{R} . 1:20-15(f)(4).

A permanent record of this occurrence has been filed with the Clerk of the Supreme Court and the Board's office. Should you become the subject of any further discipline, it will be taken into consideration.

The Board has also directed that the costs of the disciplinary proceedings be assessed against you. An affidavit of costs will be forwarded under separate cover.

Very truly yours,

ulianne K. DeCore

chief Counsel

JKD/LL/ns

c: Chief Justice James R. Zazzali

Associate Justices

Stephen W. Townsend, Clerk, Supreme Court of New Jersey Gail G. Haney, Deputy Clerk, Supreme Court of New Jersey (w/ethics history)

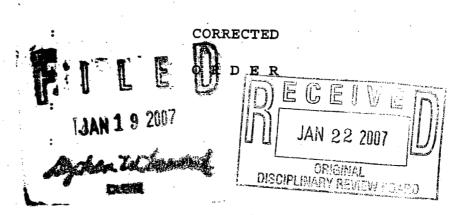
William J. O'Shaughnessy, Chair, Disciplinary Review Board David E. Johnson, Jr., Director, Office of Attorney Ethics Josephine A. Martino, Grievant

IN THE MATTER OF

JAMES C. DeZAO, III,

AN ATTORNEY AT LAW

(Attorney No. 019511985)



The Disciplinary Review Board having filed with the Court its decision 06-237, concluding that JAMES C. DeZAO, III, of PARSIPPANY, who was admitted to the bar of this State in 1985, should be admonished for violating of RPC 1.15(d) (recordkeeping violations), and good cause appearing;

It is ORDERED that the Disciplinary Review Board is authorized to issue a letter of admonition to respondent; and it is further

ORDERED that respondent reimburse the Disciplinary Oversight Committee for appropriate administrative costs and actual expenses incurred in the prosecution of this matter, as provided in Rule 1:20-17.

WITNESS, the Honorable James R. Zazzali, Chief Justice, at Trenton, this 10th day of January, 2007.

CLERK OF THE SUPREME COURT

The foregoing is a true copy of the original on file in my office.

CLERK OF THE SUPREME COURT
OF NEW JERSEY