DISCIPLINARY REVIEW BOARD

OF THE

SUPREME COURT OF NEW JERSEY

BRUCE W. CLARK, ESQ., CHAIR HON. MAURICE J. GALLIPOLI, VICE-CHAIR PETER J. BOYER, ESQ. THOMAS J. HOBERMAN REGINA WAYNES JOSEPH, ESQ. PETER PETROU, ESQ. EILEEN RIVERA ANNE C. SINGER, ESQ. ROBERT C. ZMIRICH



Richard J. Hughes Justice Complex P.O. Box 962 Trenton, New Jersey 08625-0962 (609) 815-2920

October 21, 2019

ELLEN A. BRODSKY CHIEF COUNSEL

BARRY R. PETERSEN, J.R. DEPUTY COUNSEL ROCCO J. CARBONE, III TIMOTHY M. ELLIS ELIZABETH L. LAURENZANO COLIN T. TAMS KATHRYN ANNE WINTERLE ASSISTANT COUNSEL

VIA CERTIFIED MAIL, R.R.R., REGULAR MAIL & E-MAIL

Cary J. Frieze, Esq. c/o Peter N. Gilbreth, Esq. 60 Washington Street – Suite 302 Morristown, NJ 07960 peter.gilbreth@verizon.net

> Re: <u>In the Matter of Cary J. Frieze</u> Docket No. DRB 19-252 District Docket No. XIV-2016-0090E LETTER OF ADMONITION

Dear Mr. Frieze:

The Disciplinary Review Board has reviewed your conduct in the above matter and has concluded that it was improper. Following a review of the record, the Board determined to impose an admonition for your violation of <u>RPC</u> 1.15(d) (failure to comply with the recordkeeping provisions of <u>R</u>. 1:21-6), <u>RPC</u> 5.5(a)(1) (practicing law while ineligible), and <u>RPC</u> 8.1(b) (failure to cooperate with disciplinary authorities). The Board further determined to dismiss the charged violation of <u>RPC</u> 8.4(d) (conduct prejudicial to the administration of justice).

Specifically, you committed the following recordkeeping infractions: failure to maintain monthly three-way reconciliations for the PNC Bank (PNC) trust account, a violation of <u>R</u>. 1:21-6(c)(1)(H); failure to maintain proper monthly three-way reconciliations for the PNC and Bank of America (BoA) trust accounts, a violation of <u>R</u>. 1:21-6(c)(1)(H); failure to maintain BoA trust account records contemporaneously, a violation of <u>R</u>. 1:21-6(c)(1)(H); failure to maintain fully descriptive client ledger cards, a violation of <u>R</u>. 1:21-6(c)(1)(B); failure to maintain a ledger card identifying PNC trust account funds for bank charges, a violation of <u>R</u>. 1:21-6(d); failure to maintain a cash receipts and disbursements journal for BoA trust account, a violation of <u>R</u>. 1:21-6(c)(1)(A); failure to maintain fully-descriptive balances were left in the BoA trust account, a violation of <u>R</u>. 1:21-6(c)(1)(A); and \$2,185.56 in inactive balances were left in the BoA trust account, a violation of <u>R</u>. 1:21-6(d). Your failure to comply with the above recordkeeping provisions of <u>R</u>.

<u>I/M/O Cary J. Frieze</u>, DRB 19-252 October 21, 2019 Page 2 of 3

1:21-6 constituted a violation of <u>RPC</u> 1.15(d). Further, the PNC trust account funds for bank charges exceeded \$250, a violation of <u>RPC</u> 1.15(a).

In addition, from November 2016 through February 2017, you represented Beverly Rhodes in the purchase of a Mendham, New Jersey property. During that period, you were ineligible to practice law due to nonpayment of the annual attorney assessment to the New Jersey Lawyers' Fund for Client Protection, a violation of <u>RPC</u> 5.5(a)(1). Although you were unaware of the ineligibility initially, in December 2016, you learned of your status from an Office of Attorney Ethics (OAE) disciplinary auditor. Nevertheless, you continued to represent Rhodes through the completion of the transaction.

The District XA Ethics Committee hearing panel (DEC) apparently granted the OAE's request to withdraw the charged violation of <u>RPC</u> 8.1(b). The Board determined that you did violate the <u>Rule</u>, however. Although, ultimately, you complied with the OAE's requests, you tarried in doing so, often requiring prompting from the OAE. Moreover, you stipulated to the facts that support the <u>RPC</u> 8.1(b) charge.

Finally, although the DEC found that you violated <u>RPC</u> 8.4(d), the Board dismissed the charge, finding that <u>RPC</u> 5.5(a)(1) adequately addressed your conduct in representing Rhodes during the period of ineligibility.

In imposing only an admonition, the Board considered your acknowledgment of wrongdoing, your cooperation with the OAE in entering into a stipulation, evidence of your good character, and, most importantly, your prior forty-seven-year unblemished disciplinary history.

Your conduct has adversely reflected not only on you as an attorney but also on all members of the bar. Accordingly, the Board has directed the issuance of this admonition to you. R. 1:20-15(f)(4).

A permanent record of this occurrence has been filed with the Clerk of the Supreme Court and the Board's office. Should you become the subject of any further discipline, this admonition will be taken into consideration.

The Board also has directed that the costs of the disciplinary proceedings be assessed against you. An invoice of costs will be forwarded to you under separate cover.

Very truly yours,

Eller & Broth

Ellen A. Brodsky Chief Counsel

I/M/O Cary J. Frieze, DRB 19-252 October 21, 2019 Page 3 of 3

EAB/jm

Chief Justice Stuart Rabner c: Associate Justices Heather Joy Baker, Clerk Supreme Court of New Jersey Bruce W. Clark, Chair Disciplinary Review Board (e-mail) Gail G. Haney, Deputy Clerk Supreme Court of New Jersey (w/ethics history) Charles Centinaro, Director Office of Attorney Ethics (interoffice mail and e-mail) Hillary K. Horton, Deputy Ethics Counsel Office of Attorney Ethics (e-mail) Gregory J. Bevelock, Esq., Chair District XA Ethics Committee (e-mail) Caroline Record, Esq., Secretary District XA Ethics Committee (regular mail and e-mail)